



<b>THE UNITED STATES PATENT AND TRADEMARK OFFICE</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>UNDER 37 CFR § 1.56, § 1.97, and § 1.98</b> <b>FORM PTO-1449</b>		APPLICATION SERIAL NO.: 10/627,517	ATTY DKT NO.: 38349-0120	CONFIRM NO.: 2924
		APPLICANTS: Rapacki et al.	CUSTOMER NO.: 24961	
Sheet 1 of 11		FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

### U.S. PATENT DOCUMENTS

† EX'R INITIAL	REF. #	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
*	A	2003/0018327 (A1)	01/23/03	Truckai et al.	606/32	07/18/02
*	B	2003/0018344 (A1)	01/23/03	Kaji et al.	606/130	07/19/02
*	C	2003/0050648 (A1)	03/13/03	Alferness et al.	606/108	09/11/01
*	D.	2003/0083671(A1)	05/01/03	Rimbaugh et al.	606/108	10/25/01
*	E	2003/0181922 (A1)	09/25/03	Alferness et al.	606/108	03/20/02
*	F	2003/0183235 (A1)	10/02/03	Rimbaugh et al.	128/207.15	04/17/03
*	G	2002/0138135 (A1)	09/26/02	Duerig et al.	623/1.24	03/21/01
*	H	2002/0112729 (A1)	08/22/02	DeVore et al.	128/207.15	02/21/01
*	I	2002/0111620 (A1)	08/15/02	Cooper et al.	606/41	09/04/01
*	J	2002/0111619 (A1)	08/14/02	Keast et al.	606/41	09/04/01
*	K	2002/0095209 (A1)	07/18/02	Zadno-Azizi et al.	623/1.24	02/08/02
*	L	2002/0087153 (A1)	07/04/02	Roschak et al.	606/27	09/04/01
*	M	2002/0077696 A1	06/20/02	Zadno-Azizi et al.	623/1.24	02/21/02
*	N	2002/0077593 (A1)	06/20/02	Perkins et al.	604/96.01	02/11/02
*	O	2002/0062120 (A1)	05/23/02	Perkins et al.	604/516	12/13/01
*	P	2002/0026233(A1)	02/28/02	Shaknovich	623/1.24	03/20/01
*	Q	2002/0007831(A1)	1/24/02	Davenport et al.	128/200.24	07/18/01
*	R	2001/0056274 (A1)	12/27/01	Perkins et al.	604/516	07/02/01
*	S	2001/0052344 (A1)	12/20/01	Doshi	128/207	06/14/01
*	T	2001/0051799 (A1)	12/13/01	Ingenito	604/516	04/20/01
*	U	2001/0041906 (A1)	11/15/01	Gonzalez	606/191	07/24/01
*	V	2001/0037808 A1	11/08/01	Deem et al.	128/200.24	03/02/01

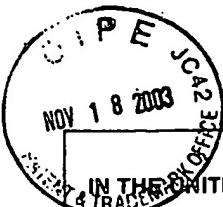
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.56, § 1.97, and § 1.98

FORM PTO-1449

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APPLICATION SERIAL NO.:	ATTY DKT NO.:	CONFIRM NO.:
10/627,517	38349-0120	2924
APPLICANTS: Rapacki et al.	CUSTOMER NO.:	
24961		GROUP ART UNIT:
July 25, 2003		3761

U.S. PATENT DOCUMENTS

*	W	2001/0025132 (A1)	09/27/01	Alfemess et al.	600/37	02/09/01
*	X	2,981,254	04/25/61	Vanderbilt	128/350	11/12/57
*	Y	3,657,744	04/25/72	Ersek	128/334	05/08/70
*	Z	3,788,327	01/29/74	Donowitz et al.	128/350	03/30/71
*	AA	3,874,388	04/01/75	King et al.	128/334	02/12/73
*	AB	4,014,318	03/29/77	Dockum et al.	128/1	05/22/75
*	AC	4,086,665	05/02/78	Poirier	623/1	12/16/76
*	B	4,212,463	07/15/80	Repinski et al.	273/418	02/17/78
*	AD	4,250,873	02/17/81	Bonnet	600/104	04/17/78
*	AE	4,302,854	12/01/81	Runge	3/1.7	06/04/80
*	AF	4,477,930	10/23/84	Totten et al.	3/1.5	09/28/82
*	AG	4,710,192	12/01/87	Liotta et al.	623/1	10/17/86
*	AH	4,732,152	03/22/88	Wallsten et al.	128/343	12/5/85
*	AI	4,759,758	07/26/88	Gabbay	623/2	12/07/84
*	AJ	4,795,449	01/03/89	Schneider et al.	604/329	08/04/86
*	AK	4,808,183	02/28/89	Panje	623/9	06/03/80
*	AL	4,819,664	04/11/89	Nazari	128/207.15	02/17/88
*	AM	4,830,003	05/16/89	Wolff et al.	128/343	06/17/88
*	AN	4,832,680	05/23/89	Haber et al.	600/31	07/03/86
*	AO	4,846,836	07/11/89	Reich	623/11	10/03/88
*	AP	4,850,999	07/25/89	Planck	623/1	05/26/81
*	AQ	4,852,568	08/01/89	Kensey	128/325	12/28/87

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UNITED STATES PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE STATEMENT**

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

**FORM PTO-1449**

Sheet 3 of 11

APPLICATION SERIAL NO.:  
10/627,517

ATTY DKT NO.:  
38349-0120

CONFIRM NO.:  
2924

APPLICANTS:  
Rapacki et al.

CUSTOMER NO.:  
24961

FILING DATE:  
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GROUP ART UNIT:  
3761

**U.S. PATENT DOCUMENTS**

*	AR	4,877,025	10/31/89	Hanson	128/107.16	10/06/88
*	AS	4,934,999	06/19/90	Bader	600/29	07/28/88
*	AT	4,968,294	11/06/90	Salama	600/30	02/09/89
*	AU	5,061,274	10/29/91	Kensey	606/213	12/04/89
*	AV	5,116,360	05/26/92	Pinchuk et al.	623/1	12/27/90
*	AW	5,116,564	05/26/92	Jansen et al.	264/255	10/10/89
*	AX	5,123,919	06/23/92	Sauter et al.	623/2	06/23/92
*	AY	5,151,105	09/29/92	Kwan-Gett	623/1	10/07/91
*	AZ	5,161,524	11-92	Evans	128/203.15	
*	BA	5,306,234	04/26/94	Johnson	604/49	03/23/93
*	BB	5,352,240	10/04/94	Ross	623/2	05/31/89
*	BC	5,358,518	10/25/94	Camilli	623/2	01/25/93
*	BD	5,366,478	11/22/94	Brinkerhoff et al.	660/213	07/27/93
*	BE	5,382,261	01/17/95	Palma	606/158	09/01/92
*	BF	5,392,775	02/28/95	Adkins et al.	128/207.16	03/22/94
*	BG	5,409,019	04/25/95	Wilk	128/898	11/03/93
*	BH	5,411,507	05/02/95	Heckele	606/108	01/05/94
*	BI	5,411,552	05/02/95	Andersen et al.	623/2	06/14/94
*	BJ	5,413,599	05/09/95	Imachi et al.	623/2	12/13/93
*	BK	5,417,226	05/23/95	Juma	128/885	06/09/94
*	BL	5,445,626	08/29/95	Gigante	604/349	06/03/94
*	BM	5,486,154	01/23/96	Kelleher	600/104	06/08/93

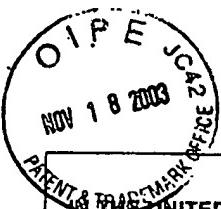
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		APPLICANTS: Rapacki et al.	CUSTOMER NO.: 24961	
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*	BN	5,499,995	03/19/96	Teirstein	606/192	05/25/94
*	BO	5,500,014	03/19/96	Quijano et al.	623/2	05/09/96
*	BP	5,562,608	10/08/96	Sekins et al.	604/20	04/13/95
*	BQ	5,645,565	07/08/97	Rudd et al.	606/213	06/13/93
*	BR	5,660,175	08/26/97	Dayal	128/207.15	08/21/95
*	BS	5,662,713	09/02/97	Andersen et al.	623/12	09/14/95
*	BT	5,683,451	11/04/97	Lenker et al.	623/1	06/07/95
*	BU	5,697,968	12/16/97	Rogers et al.	623/1	08/10/95
*	BV	5,755,770	5/26/98	Ravenscroft	623/1	01/31/95
*	BW	5,800,339	09/01/98	Salama	600/29	05/02/97
*	BX	5,840,081	11/24/98	Andersen et al.	623/2	02/19/97
*	BY	5,851,232	12/22/98	Lois	623/1	3/13/97
*	BZ	5,855,587	01/05/99	Hyon et al.	606/188	08/22/96
*	CA	5,855,597	01/05/99	Jayaraman	623/1	05/07/97
*	CB	5,855,601	01/05/99	Bessler et al.	623/2	06/21/96
*	CC	5,944,738	08/31/99	Amplatz et al.	606/213	02/06/98
*	CD	5,947,997	09/07/99	Pavcnik et al.	606/213	06/30/97
*	CE	5,954,766	09/21/99	Zadno-Azizi et al.	623/2	09/16/97
*	CF	5,957,949	09/28/99	Leonhardt et al.	606/194	05/01/97
*	CG	5,976,174	11/02/99	Ruiz	606/213	12/15/97
*	CH	5,984,965	11/16/99	Knapp et al.	623/12	08/28/97
*	CI	6,007,575	12/28/99	Samuels	623/1	06/06/97

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U.S. PATENT DOCUMENTS

*	CJ	6,009,614	01/04/00	Morales	29/516	04/21/98
*	CK	6,020,380	02/01/00	Killian	514/570	11/25/98
*	CL	6,022,312	02/08/00	Chaussy et al.	600/29	02/20/98
*	CM	6,027,525	02/22/00	Suh et al.	623/1	05/23/97
*	CN	6,051,022	04/18/00	Cai et al.	623/2	12/30/98
*	CO	6,068,635	05/30/00	Gianotti	606/108	06/04/98
*	CP	6,068,638	05/30/00	Makower	606/159	10/27/98
*	CQ	6,077,291	06/20/00	Das	606/213	11/26/96
*	CR	6,083,255	07/04/00	Laufer et al.	607/96	12/19/97
*	CS	6,123,663	09/26/00	Rebuffat	A61F2/00	12/23/98
*	CT	6,135,729	10/24/00	Aber	417,420	07/09/99
*	CU	6,135,991	10/24/00	Muni et al.	604/509	03/27/98
*	CV	6,141,855	11/07/00	Morales	29/516	04/28/98
*	CW	6,162,245	12/19/00	Jayaraman	623/1.15	01/05/99
*	CX	6,168,614 (B1)	01/02/01	Andersen et al.	623/1	02/20/98
*	CY	6,174,323 (B1)	01/16/01	Biggs et al.	606/232	06/05/98
*	CZ	6,183,520 (B1)	02/06/01	Pintauro et al.	623/23.64	11/10/98
*	DA	6,200,333 (B1)	03/13/01	Laufer	607/96	12/31/98
*	DB	6,206,918 (B1)	03/27/01	Campbell et al.	623/2.32	05/12/99
*	DC	6,234,996 (B1)	05/22/01	Bagaoisan et al.	604/97.01	06/23/99
*	DD	6,240,615 (B1)	06/05/01	Kimes et al.	29/516	09/30/99
*	DE	6,245,102 (B1)	06/12/01	Jayaraman	623/1.15	07/21/99
*	DF	6,258,100	07/11/01	Alferness et al.	606/108	10/10/00
*	DG	6,270,527 (B1)	08/07/01	Campbell et al.	623/2.18	10/16/98

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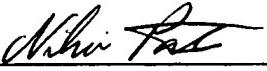
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		APPLICANTS: Rapacki et al.	CUSTOMER NO.: 24961	
		FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

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*	DH	6,287,290 (B1)	09/11/01	Perkins et al.	604/516	07/02/99
*	DI	6,293,951 (B1)	09/25/01	Alferness et al.	606/108	08/24/99
*	DJ	6,302,893 (B1)	10/16/01	Limon et al.	606/108	06/19/00
*	DK	6,312,407 (B1)	11/06/01	Zadno-Azizi et al.	604/103.03	02/19/98
*	DL	6,325,777 (B1)	12/04/01	Zadno-Azizi et al.	604/97.01	10/01/99
*	DM	6,325,778 (B1)	12/04/01	Zadno-Azizi et al.	604/99.02	01/01/99
*	DN	6,327,772 (B1)	12/11/01	Zadno-Azizi et al.	29/557	04/13/99
*	DO	6,328,689 (B1)	12/11/01	Gonzalez	600/37	03/23/00
*	DP	6,355,014 (B1)	03/12/02	Zadno-Azizi et al.	604/99.02	03/13/98
*	DQ	6,398,775 (B1)	06/04/02	Perkins et al.	604/514	10/21/99
*	DR	6,402,754 (B1)	06/11/02	Gonzalez	606/69	10/20/99
*	DS	6,416,554 (B1)	07/09/02	Alferness et al.	623/23.65	08/24/99
*	DT	6,458,076	10/01/02	Pruitt	600/146	02/01/00
*	DU	6,485,407 (B2)	11/26/02	Alferness et al.	600/37	02/09/01
*	DV	6,491,706 (B1)	12/10/02	Alferness et al.	606/157	07/10/01
*	DW	6,493,589 (B1)	12/10/02	Medhkour et al.	607/99	08/10/00
*	DX	6,510,846 (B1)	01/28/03	O'Rourke	128/200.21	10/26/00
*	DY	6,527,761 (B1)	03/04/03	Soltesz et al.	604/516	10/27/00

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Sheet 7 of 11		APPLICANTS: Rapacki et al.	CUSTOMER NO.: 24961	
		FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

FOREIGN PATENT DOCUMENTS					
† EX'R INITIAL	REF. #	Patent Number	Date	Country	TRANSLATION (YES/NO)
*	DZ	00/15149	03/23/00	PCT	
*	EA	00/42950	07/27/00	PCT	
*	EB	00/51510	09/08/00	PCT	
*	EC	00/62699	10/26/00	PCT	
*	ED	00/78386 (A1)	12/28/00	PCT	
*	EE	00/78407 (A1)	12/28/00	PCT	
*	EF	01/02042 (A1)	01/11/01	PCT	
*	EG	01/03642 (A1)	01/18/01	PCT	
*	EH	01/05334 (A1)	01/25/01	PCT	
*	EI	01/10313 (A1)	02/15/01	PCT	
*	EJ	01/10314 (A2)	02/15/01	PCT	
*	EK	01/12104 (A1)	02/22/01	PCT	
*	EL	01/13839 (A1)	03/01/01	PCT	
*	EM	01/13908 (A2)	03/01/01	PCT	
*	EN	01/28433 (A1)	04/26/01	EP	
*	EO	01/45590 (A2)	06/28/01	PCT	
*	EP	01/49213 (A2)	07/12/01	PCT	

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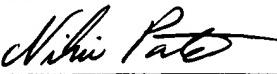
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Sheet 8 of 11		FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

FOREIGN PATENT DOCUMENTS				
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*	ER	01/54625 (A1)	08/02/01	PCT
*	ES	01/54685 (A1)	08/02/01	PCT
*	ET	01/66190 (A2)	09/13/01	PCT
*	EU	01/74271 (A1)	10/11/01	PCT
*	EV	01/87170 (A1)	11/22/01	PCT
*	EW	01/89366 (A2)	11/29/01	PCT
*	EX	01/95786 (A2)	12/20/01	PCT
*	EY	02/05884 (A2)	1/24/02	PCT
*	EZ	02/22072 (A2)	03/21/02	PCT
*	FA	02/32333 (A1)	04/25/02	PCT
*	FB	02/34322 (A2)	05/02/02	PCT
*	FC	02/38038 (A2)	05/16/02	PCT
*	FD	02/47575 (A2)	06/20/02	PCT
*	FE	02/056794 (A2)	07/25/02	PCT
*	FF	02/064045 (A1)	08/22/02	PCT
*	FG	02/064190 (A2, A3)	08/22/02	PCT
*	FH	02/069823 (A2, A3)	09/12/02	PCT
*	FI	02/094087 (A1)	11/28/02	PCT

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UNITED STATES PATENT AND TRADEMARK OFFICE <b>INFORMATION DISCLOSURE STATEMENT</b> UNDER 37 CFR § 1.56, § 1.97, and § 1.98 <b>FORM PTO-1449</b>		APPLICATION SERIAL NO.: 10/627,517	ATTY DKT NO.: 38349-0120	CONFIRM NO.: 2924
		APPLICANTS: Rapacki et al.	CUSTOMER NO.: 24961	
Sheet 9 of 11		FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

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*	FJ	03/022124 (A2)	03/20/03	PCT	
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*	FL	03/088912 (A2)	10/30/03	PCT	
*	FM	0621 015 (A1)	10/26/94	EP	
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*	FT	2324729	04/11/98	GB	
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*	FV	94/26175	11/24/94	PCT	
*	FW	95/32018	11/30/95	PCT	
*	FX	96/34582	11/07/96	PCT	X+
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*	FZ	98/00840	01/08/98	PCT	
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*	GB	98/39047	09/11/98	PCT	

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<b>THE UNITED STATES PATENT AND TRADEMARK OFFICE</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>UNDER 37 CFR § 1.56, § 1.97, and § 1.98</b> <b>FORM PTO-1449</b> Sheet 10 of 11		APPLICATION SERIAL NO.: 10/627,517	ATTY DKT NO.: 38349-0120	CONFIRM NO.: 2924
		APPLICANTS: Rapacki et al.	CUSTOMER NO.: 24961	
		FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

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*	GH	99/32040	07/01/99	PCT	
*	GI	99/42059	08/26/99	PCT	
*	GJ	99/42161	08/26/99	PCT	
*	GK	99/64109 (A1)	12/16/99	PCT	

x English Language Derwent is enclosed.

x+ English Language Equivalent is enclosed.

#### OTHER DOCUMENTS

† EX'R INITIAL	REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
*	GL	Al Jishi et al., "Selective Bronchial Occlusion for Treatment of Bullous Interstitial Emphysema and Bronchopleural Fistula." <i>J. of Pediatric Surgery</i> , 29:1545-1547, 1994
*	GM	Article: "Autocath® 100 – Nonsurgical, Intraurethral Bladder Control Device for Incontinent and Retentive Women – Dr. Kulisz's Development"
*	GN	Derwent citing Russian Patent No. RU 2140211, published 10/27/99, for: "Method of surgical treatment of patients with pathology of respiratory organs complicated with pulmonary hemorrhages"

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<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>UNDER 37 CFR § 1.56, § 1.97, and § 1.98</b> <b>FORM PTO-1449</b> Sheet 11 of 11		APPLICATION SERIAL NO.: 10/627,517	ATTY DKT NO.: 38349-0120	CONFIRM NO.: 2924
		APPLICANTS: Rapacki et al.	CUSTOMER NO.: 24961	
		FILING DATE: July 25, 2003	GROUP ART UNIT: 3761	

*	GO	Derwent citing Soviet Union Patent No. SU 852-321, published 07/08/81, for: "Treatment for acute pulmonary and pleural disease in children - by pneumo-abcessotomy simultaneous with occlusion of affected lung part"
*	GP	Derwent# 007607249 WPI Acc. No: 1988-241181/198834 (citing Russian Application No. SU4026409, published February 21, 1986), Russian Patent No. SU 1371700
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*	GR	Harris et al., "The Experimental Production in Dogs of Emphysema with Associated Asthmatic Syndrome by Means of an Intratracheal Ball Valve", <i>J. Lab. Clin. Med.</i> , 9(iv):75-88, 1919
*	GS	Lewis et al., "Pulmonary Interstitial Emphysema: Selective Broncial Occlusion with a Swan-Ganz Catheter", <i>Archives of Disease in Childhood</i> , 63:313-315, 1988
*	GT	Mathew et al., "Selective bronchial obstruction for treatment of bullous interstitial emphysema." <i>J. of Ped.</i> , 96:475-477, 1980
*	GU	Okada et al., "Emergent Bronchofiberoptic Bronchial Occlusion for Intractable Pneumothorax with Severe Emphysema", <i>The Jap. J. of Thor. And Cardio. Sur.</i> , 46:1078-1081, 1998
*	GV	Puhakka et al., "Acute Bronchial Obstruction: An Experimental Rabbit Model Study", <i>Int. J. of Pediatric Otorhinolaryngology</i> , 18:107-118, 1989
*	GW	Snider et al., <u>The Definition of Emphysema</u> : Report of the National Heart Lung and Blood Institute, Division of Lung Diseases Workshop, <i>Am. Rev. Respir. Dis.</i> , 132:182-185, 1985
*	GX	Woodring et al., "Pneumothorax ex Vacuo", <i>CHEST</i> , 100:1102-1124, 1996

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